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# Dynamical behavior of U-shaped double layers: cavity formation and filamentary structures

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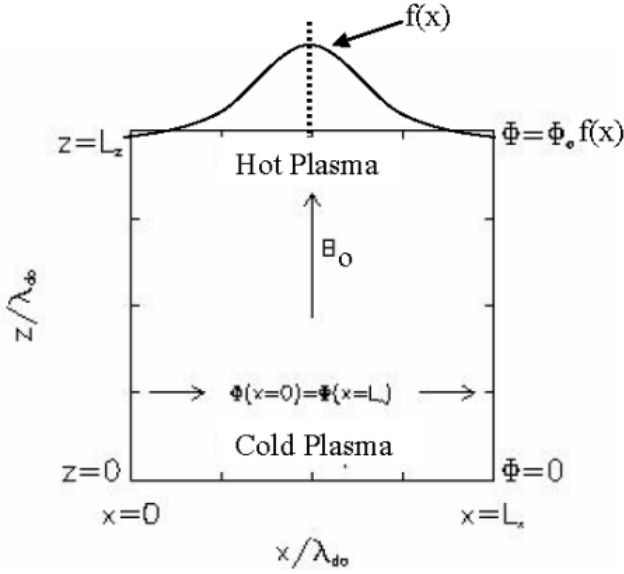
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**Abstract.** Observations from the Polar and FAST satellites have revealed a host of intriguing features of the auroral accelerations processes in the upward current region (UCR). These features include: (i) large-amplitude parallel ( $E_{\parallel}$ ) and perpendicular ( $E_{\perp}$ ) fluctuating as well as quasi-static electric fields in density cavities, (ii) fairly large-amplitude unipolar parallel electric fields like in a strong double layer (DL), (iii) variety of wave modes, (iv) counter-streaming of upward going ion beams and downward accelerated electrons, (v) horizontally corrugated bottom region of the potential structures (PS), in which electron and ion accelerations occur, (vi) filamentary ion beams in the corrugated PS, and (vii) both upward and downward moving narrow regions of parallel electric fields, inferred from the frequency drifts of the auroral kilometric radiations. Numerical simulations of U-shaped potential structures reveal that such observed features of the UCR are integral parts of dynamically evolving auroral U-shaped potential structures. Using a 2.5-D particle-in-cell (PIC) code we simulate a U-shaped broad potential structure (USBPS). The dynamical behavior revealed by the simulation includes: (i) recurring redistribution of the parallel potential drop (PPD) in the PS, (ii) its up and downward motion, (iii) formation of filaments in the potential and density structures, and (iv) creation of filamentary as well as broad extended density cavities. The formation of the filamentary structures is initiated by an ion-beam driven instability of an oblique ion mode trapped inside a broad cavity, when it becomes sufficiently thin in height. The filaments of the PS create filamentary electron beams, which generate waves at frequencies above the lower hybrid frequency, affecting plasma heating. This results in plasma evacuation and formation of a cavity extended in height. The waves associated with filamentary electron beams also evolve into electron holes. The transverse and parallel scale lengths of the regions with large  $E_{\parallel}$  and  $E_{\perp}$  as well as their magnitudes are compared with satellite data.

## 1 Introduction

Hull et al. (2003); Mozer and Hull (2001) and more recently Ergun et al. (2004) reported that auroral density cavities are the locations for large parallel electric fields ( $E_{\parallel}$ ), which are invariably accompanied with perpendicular electric fields ( $E_{\perp}$ ) in the upward current region (UCR) of the auroral plasma. The ratio of  $E_{\parallel}$  to ( $E_{\perp}$ ) ranges from  $\sim 0.25$  to  $O(10)$ . It was also shown that the large-scale structures in the electric fields have substructures, which have perpendicular width  $L_{\perp} \sim 1\text{--}20$  km. The temporal behavior of the fields revealed a low-frequency component below 10 Hz along with high-frequency components near ion cyclotron frequencies of  $H^+$  and  $He^+$  ions. Pottelette et al. (2004) showed from FAST data that  $E_{\parallel}$  responsible for accelerating auroral electrons and ions could occur in localized regions, which are quite narrow in altitude ( $\sim 10$  km) and are shifted up and down within a few kilometers. Furthermore, they found that  $E_{\parallel}$  is turbulent and spiky with an upward average field. Prior to these recent reports, McFadden et al. (1999) reported that UCR region is characterized by deep density cavities with no cold plasma and they are primarily populated by up-going ion beams and down-going electron beams. Nonlinear electrostatic structures like electron and ion holes were also observed and sometime simultaneously in the cavities; the electron holes were seen in conjunction with filamentary electron beams.

The purpose of this paper is to present results from 2.5-D Particle-in-cell (PIC) simulations of U-shaped broad potential structures (USBPS) closely revealing some of the observed features of the electric fields in the UCR. We present here the temporal and spatial evolution of a 2-D U-shaped potential structure (PS) including breaking of a broad U-shaped structure into thinner density and potential substructures, the generation of both thin and broad density cavities, generation of ion cyclotron and lower hybrid fluctuations inside the cavity, formation of electron and ion holes, and motion of the PPD as a DL.



**Fig. 1.** Geometry of the simulation: the simulated size of the plasma is  $L_x \times L_z$  and the ambient magnetic field is along the  $z$ -direction. The horizontal distribution of the applied potential  $\phi(x)$  at  $z=L_z$  is shown by  $f(x)$ .

## 2 Simulation method

We use a 2.5-D particle-in-cell (PIC) code to model the USPS in the  $x$ - $z$  plane as shown in Fig. 1. The ambient magnetic field  $B_0$  is along  $z$ . We use an electrostatic code, in which Poisson equation for the electric potential  $\varphi(x, z)$  is solved with the following boundary conditions:  $\varphi(x=0, z) = \varphi(x=L_x, z)$ ,  $\varphi(x, z=0) = 0$ , and

$$\varphi(x, z=L_z) = \varphi_0 \exp[-(x - L_x/2)^2/\xi^2], \quad (1)$$

where  $L_x$  and  $L_z$  are the size of the simulated plasma along  $x$  and  $z$  directions,  $\xi$  gives the perpendicular scale length in the distribution of the potential applied at the top boundary at  $z=L_z$ , and  $\varphi_0$  is the amplitude of the applied potential. For the UCR  $\varphi_0$  is negative. Note that the boundary condition in  $x$  is periodic. At the bottom of the simulated plasma ( $z=0$ ), we inject a cold plasma by maintaining a plasma reservoir in which plasma particles have isotropic Maxwellian velocity distribution function with equal electron and ion temperatures  $T_{eco} = T_{ico} = T_{co}$ . Likewise, at the top boundary ( $z=L_z$ ) we inject hot plasma from a plasma reservoir, in which the hot electron and ion temperatures are  $T_{eho} = T_o$  and  $T_{iho} = 4T_o$ , respectively. In the simulations described here, we assumed that  $T_{co} = T_o/10$ . We denote the plasma density in the bottom and top reservoirs by  $n_{co}$  for the cold and  $n_{ho}$  for the hot plasma, respectively. In the simulations reported here we have  $n_{co} = n_{ho} = n_o$ , that is, the same densities for the cold and hot plasmas in their respective bottom and top reservoirs, respectively. All simulations were performed for ion to electron mass ratio  $M/m=400$ , which is found to be sufficiently large to separate different electron and ion time

scales of the physical processes involved in the DL dynamics.

At the initial time  $t=0$ , the simulation region is empty. We begin the simulations by filling the two plasma reservoirs and let the plasma in them self-consistently expand into the simulation region, subject to the boundary conditions on  $\varphi(x, z)$ . The plasma particles are allowed to leave the simulated-plasma region while exiting at  $z=0$  and  $z=L_z$ . Along  $x$  we use a periodic boundary condition on the particles. We use the following definitions and normalizations: distances ( $x$  and  $z$ ) are measured in units of Debye length  $\lambda_{do} = a_{eho}/\omega_{po}$ , time in units of  $t_n = \omega_{po}^{-1} = (n_o e^2 / m \epsilon_o)^{-1/2}$ , velocities ( $V_x$ ,  $V_z$ , and  $V_z$ ) in the units of  $a_{eho} = (k_B T_o / m)^{1/2}$ , potential in  $\varphi_n = (k_B T_o / e)$ , electric fields in  $E_n = \varphi_n / \lambda_{do}$ , energy in  $W_n = k_B T_o$  and current in  $J_n = e n_o a_{eho}$ , where  $k_B$  is the Boltzmann constant,  $e$  is the magnitude of electronic charge, and  $\epsilon_o$  is the electrical permittivity of free space. The plasma density is normalized in units of  $n_o$ . Unless stated otherwise, all quantities in the following text as well as in the figures are expressed in their respective normalizing units. The time step in advancing the simulation is  $0.05 t_n$  and spatial grid spacing is  $\lambda_{do}$  both in  $x$  and  $z$ . The ambient magnetic field  $B_0$  was chosen to give  $\Omega_e / \omega_{po} = 3$ . In all the simulations reported here, the applied potential drop is  $\varphi_0 = -30$ , and  $\xi = 200 \lambda_{do} = 15 \rho_{iho}$ , where  $\rho_{iho}$  is the average hot ion Larmor radius and for the parameters of the simulations  $\rho_{iho} = 13.3 \lambda_{do}$ . For satisfying the Bohm criterion for double layer formation we impose an average drift in the negative  $z$  direction for the hot electrons in the top reservoir, namely,  $|V_{deh}| > a_{eho}$ , the hot electron thermal velocity; specifically we chose  $|V_{deh}|/a_{eho} = 1.1$ . The hot ions in the top reservoir have no average drift, that is their velocity distribution is non-shifted Maxwellian. The cold ions in the bottom plasma reservoir are given an average drift in the positive  $z$  direction with zero drift for the electrons. Specifically, the drift velocity of the cold ions is  $V_{dic} = 1.5 a_{ico}$ , where  $a_{ico}$  is the cold ion thermal velocity.

## 3 Numerical results

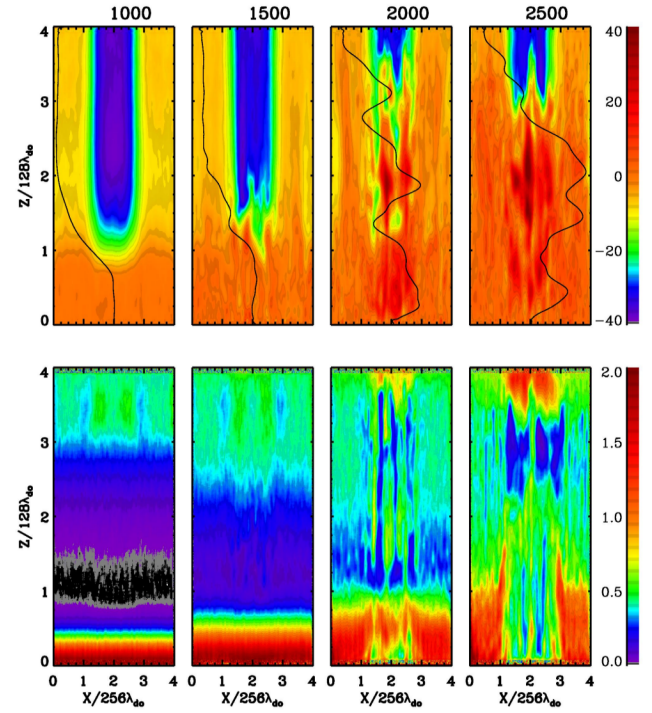
We performed three runs in which all parameters were the same except for the dimension of the simulation box along  $B_0$ ,  $L_z$ . In runs R-1, R-2, and R-3, we have  $L_z = 256, 512$  and  $1024$ , respectively. In all these runs  $L_x = 1024$ , which accommodates nicely the imposed potential structure with  $\xi = 200$ . These three runs were performed to ensure that the plasma processes seen in them are authentic plasma physical phenomena and not an artifact of the limited size of the simulation box.

### 3.1 Temporal and spatial evolution of a U-shaped broad potential structure (USBPS)

The top and bottom panels in Fig. 2 show the evolution of potential and density structures at early times,  $t < 2500$ , as seen in run R-2; in the top and bottom rows of panels in Fig. 2

equi-potential and equi-density contours are plotted. This early-phase evolution is associated with the initial filling of the simulation region with cold and hot plasmas from the bottom and top boundaries, respectively. This filling is evident by comparing the density panels for  $t=1000$  and  $1500$ ; plasmas of higher densities are progressively penetrating deeper into the simulation region from both top and bottom ends. At the initial time  $t=0$ , when there is no space charge, the potential distribution along  $z$  at any  $x$  is linear giving parallel electric field  $E_z(x, z) = \varphi(x, z=L_z)/L_z$ . The expanding plasmas modify the initial field forming a USBPS as seen in the panel for  $t=1000$  in Fig. 2; the perpendicular scale length of the USBPS is determined by the applied non-uniform potential given by (1) and its parallel potential drop (PPD) is localized in a deep density cavity near the bottom over the height range from  $z \sim 128$  to  $z \sim 256$  as shown by  $\varphi(x=512, z)$  by the black-line curve. But, this USBPS is not stable, as seen by the considerable changes in it shown in the subsequent panels at  $t=1500$ – $2500$ ; first the equi-potential contours near the bottom of the USBPS develop corrugation as seen from the plot for  $t=1500$ . Subsequently, the narrow channels of negative (low) potentials recede upward leaving behind thin filamentary structures in both the potential and density structures as seen from the plots at  $t=2000$  and  $2500$ ; a noteworthy feature of the evolving PS is the persistent feature of corrugation at the bottom where the PPD occurs in multiple fine-scale substructures. We point out here, that the above temporal feature of the USBPS is recurring independent of the initial condition of empty simulation region. Therefore, we first present a summary of the evolution of the USBPS over the entire run time  $t=10\,000$  before discussing the physics of the recurring dynamic processes.

Figure 3 shows the further evolution of the potential (top row) and density (bottom row) structures from  $t=3000$  to  $t=10\,000$ . The top row of the panels in Fig. 3 shows that the potential structure, which had receded to higher heights, by  $t=2500$  slowly descends down reaching a low height near  $z \sim 128$  at times  $t \sim 5000$ . Soon after the descent, the USBPS again rather quickly recedes to higher heights and once again it makes a relatively slow descent to nearly the same low height by the time  $t \sim 9000$ , as it did previously at  $t \sim 5000$ . We point out that the process of receding to higher heights is much faster than that of the descent. Soon after the descent of the PPD to  $z \sim 128$ , the plasma density as well as the potential undergo a rapid filamentation as clearly seen from the plots at  $t=2500$  (Fig. 2),  $6000$  and  $10\,000$  (Fig. 3). The filamentation leads first to the formation of density striations followed by creation of a broad density cavity with imbedded thin structures extending to large heights as seen from the density plots at  $t=2000$  and  $2500$  in Fig. 2, and at  $t=7000$  and  $10\,000$  in Fig. 3. The USBPS, with imbedded fine structures underneath, rises to higher heights near the top of the cavity. Subsequently as the cavity refills with hot plasma from the top, USBPS descends down eventually reaching the low height  $z \sim 128$ , where the filamentation is triggered as mentioned above and the subsequent processes are repeated.

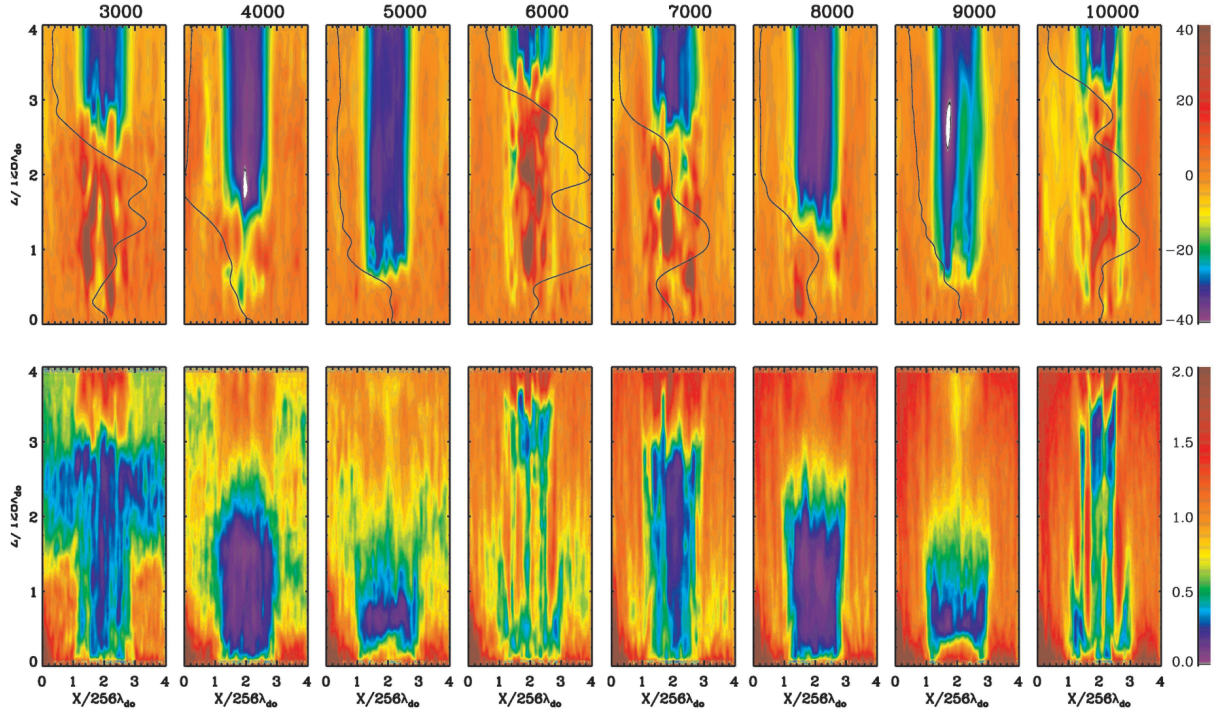


**Fig. 2.** Top row: Early-time evolution of a 2-D U-shaped potential structure. Equi-potential surfaces are shown in color with levels indicated by the color bar on the right. The solid-line curves in the upper panels show the parallel potential profile in the USBPS along  $x=512$ . Bottom row: equi-density surfaces of density structures associated with the potential structures in the top row with density scales shown by the color bar on the right. Times are labeled on the top.

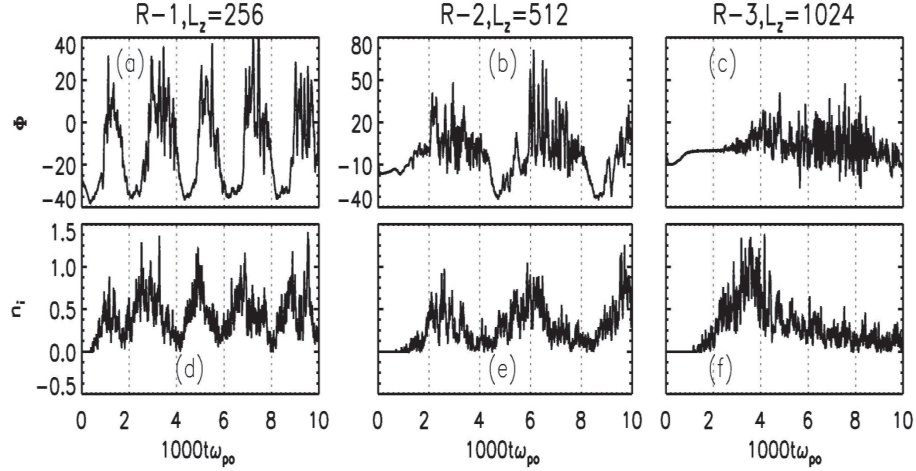
Thus, we find from Figs. 2 and 3 that the potential and the density structure undergo a cyclic evolution, including recurring formation of a USBPS, its filamentation, fast upward propagation of the PPD, formation of thin and long substructures in the plasma density underneath it, followed by plasma depletions and creation of a broad density cavity, subsequent downward motion of the bottom of the USBPS containing the PPD forming a broad and vertically extended potential structure. When the parallel potential drop in the structure (USBPS) reaches near the lower boundary, where cold plasma dominates, the above set of processes begin to repeat again. In R-1 with  $L_z=256$  and in R-3 with  $L_z=1024$  we saw the exactly same evolutionary behavior but with the duration of the cycles halved and doubled of that in R-2, respectively.

The cyclic behavior of the potential structure in R-1, R-2 and R-3 is more clearly revealed by the top panels in Fig. 4; in these panels we have plotted  $\varphi(t)$  at the point  $(512, 128)$ . The corresponding temporal variations in the plasma density  $n_i$  at the same point are plotted in the bottom panels. The panels for R-1 reveal that  $\varphi$  and  $n_i$  undergo nearly 5 cycles over the run time of  $t=10\,000$ . In R-2 there are  $\sim 2.5$  cycles over the same run time while in R-3 only one cycle is seen. In R-1 and R-2, only the first cycles or so are affected by the initial refilling of the empty simulation





**Fig. 3.** Same as Fig. 2, but at later times; note the cyclic fast upward motion and relatively slow downward motion of the bottom of the USBPS. Also note the cyclic formation of filamentary density cavities, their evolution into a broad cavity extended in height and then its shrinking with the downward motion of the USBPS.



**Fig. 4.** Top row: temporal variation of the potential at (128, 512) in runs (a) R-1, (b) R-2 and (c) R-3. Bottom row: same as the top row, but showing the density. Note the cyclic variation with 5 cycles, 2.5 cycles and 1 cycle in R-1, R-2, and R-3, respectively.

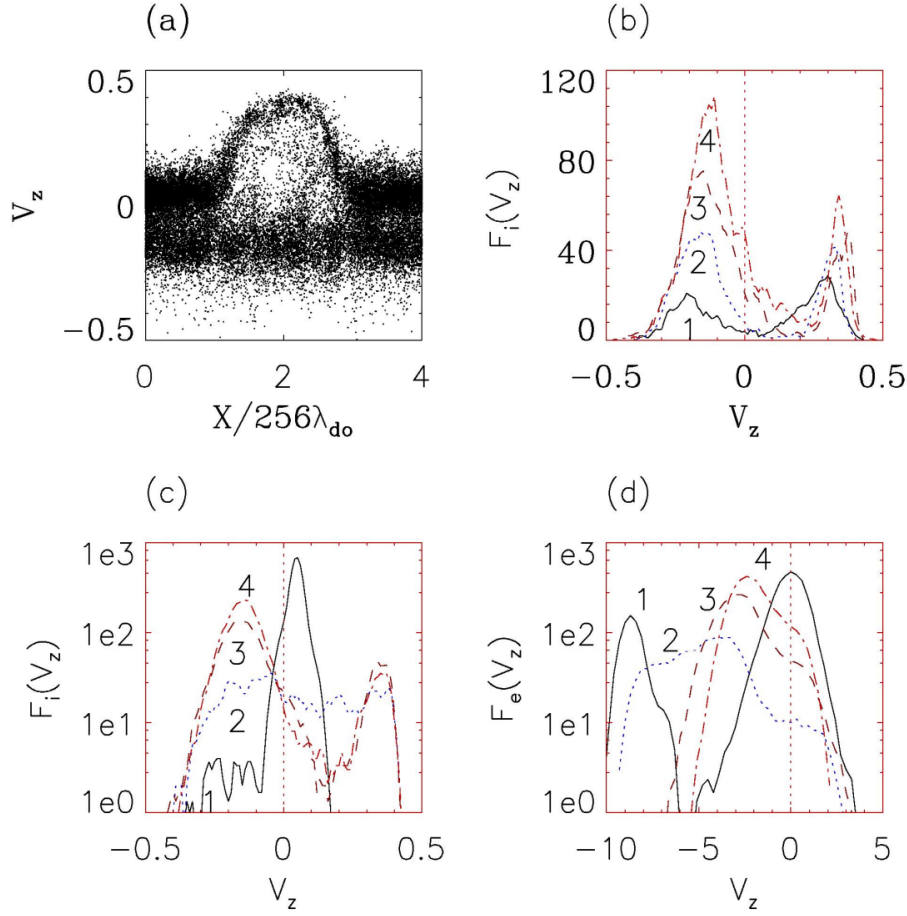
region and the latter cycles are the consequence of intrinsic plasma processes driven by the electrodynamics of the USBPS. Comparing results from R-1 and R-2 with that in R-3, we conclude that even in R-3 the results after very early times  $t \sim 2000$  are essentially intrinsic plasma effects unaffected by the initial condition of an empty simulation region.

The time taken for the USBPS to move from top to bottom in R-1, R-2 and R-3 scales linearly with the vertical size  $L_z$ . This linear scaling of the transit time is expected because the

USBPS descends with the hot plasma expanding from the top with ion-acoustic speed

$$C_s = [(k_B T_{eho} + 3k_B T_{iho})/M]^{1/2} = 0.16 a_{eh} \quad (2)$$

The downward expansion occurs into the plasma cavity, which is not an artifact of the initially empty simulation region, but it is a consequence of the self-consistent plasma processes occurring in the simulation, including cavity formation.



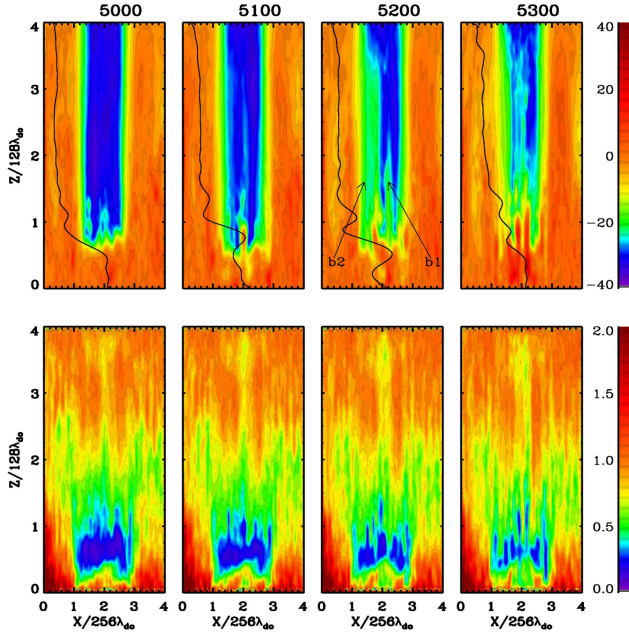
**Fig. 5.** Parallel velocity distribution of ions and electrons: **(a)**  $x-V_z$  phase space of ions lying in height range  $128 < z < 192$  at  $t=5000$ . Note the counter-streaming of ion beams in the central region. **(b)** Temporal evolution of  $F_i(V_z)$  for ions in the height range  $128 < z < 192$ : (1)  $t=4600$ , (2)  $t=4800$ , (3)  $t=5000$ , (4)  $t=5200$ . **(c)** Spatial evolution of  $F_i(V_z)$  for ions at  $t=5000$  (1)  $z < 64$ , (2)  $64 < z < 128$ , (3)  $128 < z < 192$ , and (4)  $192 < z < 256$ . **(d)** Spatial evolution of  $F_e(V_z)$  the same time and height range as  $F_i(V_z)$  in (c).

In order to better understand the cyclic behavior of the USBPS and its consequences, we next discuss the plasma effects and processes driven by the USBPS using results from R-2. When the USBPS descends down to the lowest height, the potential at the point (256, 128) reaches  $\varphi \approx \varphi_o = -30$  (Fig. 4), which is the maximum applied potential difference given by Eq. (1). Thus, the entire parallel potential drop is concentrated below  $z \sim 128$  in a DL as shown by the 2-D PS in the panel for  $t=5000$  in Fig. 3 and the corresponding potential profile  $\varphi(z)$  along  $x=512$  plotted in the same panel; the parallel potential drop occurs in a density cavity near the bottom as seen from the density structure for  $t=5000$  in Fig. 3; the minimum normalized density in the cavity is  $\sim 0.2$  (also see Fig. 8). As shown by the PS at the subsequent times in Fig. 3, The USBPS at  $t=5000$  is not stable; it begins to undergo rapid filamentation as soon as it descends to sufficiently low heights where the influx of the cold ions from the bottom boundary in to the cavity becomes increasingly strong.

The ion density plot in Fig. 4e shows that just before  $t=5000$ , the density at the point (512, 128) below the par-

allel potential drop is beginning to increase with time. This is due to plasma expansion from the bottom. Increasing ion density below the USBPS gives rise to an increase in the ion beam density above the parallel potential drop in the USBPS. The enhanced ion beam density triggers plasma instabilities, which contribute to the initiation of the process of filamentation of the USBPS and the plasma density as seen from the plots for  $t=6000$  in the top and bottom panels of Fig. 3, respectively. At  $t=5000$  when a horizontally broad PPD exists near  $z \sim 128$ , there is a deep density cavity fairly localized near  $z \sim 128$  and the plasma density steadily increases with increasing height above it. However, remnants of prior filamentations in the density are visible even in the density plot at  $t=5000$ . The subsequent density plot at  $t=6000$  shows that the filamentation in the plasma density has become deeper and it extends nearly to the top near  $z \sim L_z$ . The details of the progress in the filamentation process are shown later in Figs. 6 and 12.

The density plot at  $t=7000$  (bottom panels, Fig. 3) shows that the filamented plasma below the corrugated PPD, now at large heights, has eroded away giving rise to the formation



**Fig. 6.** Potential (top panels) and density (bottom panels) structures shown at fine time resolution highlighting the development of the ion instability, which generates the striations in the density and initiates the formation of the alternating fingers of high and low (negative) potentials. Times are labeled at the top of the panels. Fingers “b<sub>1</sub>” and “b<sub>2</sub>” are identified for later reference.

of a broad density cavity much extended in height. The plots in the bottom panels at  $t=8000$  and  $9000$  in Fig. 3 show that the cavity fills from the top. We find that at  $t\sim 8600$ , the potential and density structures (not shown in Fig. 3) are nearly the same as  $t\sim 5000$ , thus completing a cycle of evolution. The plots at  $t=10\,000$  in Fig. 3 show again the rapid filamentation of the USBPS, beginning the third cycle of evolution in R-2. It is worth mentioning that the density striations are more clearly seen at  $t=6000$  and  $10\,000$  in contrast to the corresponding filaments in the potential structure. The reason for this will be made clear when we discuss the plasma processes, which generate the formation of filamentary density and potential structures in the USBPS.

### 3.2 Plasma instabilities affecting the stability of the USBPS

How is the filamentation process triggered and what are its consequences for the USBPS? Since the filamentation is triggered only after the PPD in the USBPS descends down to low heights where cold plasma density and hence the cold ion influx are increasing with time, it appears that an ion beam accelerated by the PPD initiates the process of filamentation. We find that another contributing factor to the initiation process is the shrinking size of the density cavity with the downward motion of the PPD (Fig. 3); the cavity with reduced parallel size acts like a cavity resonator for the ion-beam driven modes. The cold electrons are trapped below the parallel potential drop. The cold ion beam with en-

hanced density interacts with the hot ions trapped in the low-potential region of the USBPS. Since the hot ions themselves are accelerated downward as a consequence of the expansion of the hot plasma with the descending USBPS, there is a counter-streaming of plasma beams in the low-potential region above the PPD. This is shown in Fig. 5a, in which  $x-V_z$  phase space at  $t=5000$  is plotted for the ions in the height range  $64 < z < 192$ ; the two distinct ion populations well separated in  $V_z$  are clearly seen. Figure 5b shows the temporal evolution of the parallel velocity distribution function for the ions,  $F_i(V_z)$ , in the central region ( $384 < x < 640$ ) of the USBPS over the height range  $96 < z < 160$ . We find that over the time from  $t\sim 4800$  to  $5200$  a colder and stronger up-going beam emerges with the average beam velocity  $V_{ibu}=0.38$ ; this beam is the consequence of the acceleration of cold ions entering the USBPS from the bottom with increasing influx. Likewise, a down-going hot ion beam also grows as the hot plasma expands with the down-going USBPS. The average beam velocity of the hot ion beam is  $V_{ibd}=-0.12 a_{eho}$ . Figure 5c shows the spatial (height) evolution of  $F_i(V_z)$  at  $t=5000$ ; for  $z < 64$  the dominant population is the cold ions. In the midst of the parallel potential drop ( $64 < z < 128$ ), the cold and hot ions mix and produce a very broad distribution; at higher heights above the parallel potential drop the two ion populations are distinctly separated forming counter-streaming beams as mentioned above.

Figure 5d shows the electrons’ velocity distribution function,  $F_e(V_z)$ , like that for the ions in Fig. 5c. For  $z < 64$ , below the USBPS, a trapped cold electron population, which has been considerably heated by the plasma turbulence, coexists with an electron beam accelerated by the PPD. In the transition region of the PPD, electrons have a very broad distribution with very small slope near  $V_z\sim 0$ . Above  $z\sim 128$ , the electron population is basically the one injected at the top ( $z=L_z$ ), but progressively accelerated downward like in a pre-sheath. This population, in combination with a heated population near  $V_z\sim 0$  yields the small slope in this zero-velocity range. The ion beams mentioned above occupy the velocity space near  $V_z=0$  and thus, any ion-beam driven instability is unaffected by any damping by the electrons. The moments of  $F_i(V_z)$  over the height range  $64 < z < 192$  at  $t=5000$  (Figs. 5c) are as follows; up-going cold ion beam: average drift velocity  $V_{ibu}=0.32 a_{eho}$ , parallel temperature  $T_{ibu}=0.6 T_o$ ; trapped ions:  $V_{ibd}=-0.12 a_{eho}$ , parallel temperature  $T_{it||}=2 T_o$ , perpendicular temperature  $T_{it\perp}=4 T_o$ . Likewise, the moments of  $F_e(V_z)$  (Fig. 5d) are as follows: parallel drift velocity  $V_{ed}=-1.2 a_{eho}$ , parallel temperature  $T_{e||}=3 T_o$ , perpendicular temperature  $T_{e\perp}=T_o$ . The ion-acoustic speed with the above parallel electron temperature is  $C_s\sim 0.2 a_{eho}$ . Compared to this speed, the relative drift between the ion beams is  $V_{id}=0.44 a_{eho}$ . The above plasma parameters suggest that the interaction between the ion beams could drive electrostatic ion cyclotron (EIC) as well as oblique ion-acoustic (OIA) waves. However, the local plasma inhomogeneity makes the instability analysis difficult. In our simulations the ion cyclotron period is  $\tau_{ci}=833\omega_{po}^{-1}$  and we find that the instability driven oscillations grow at a much faster time

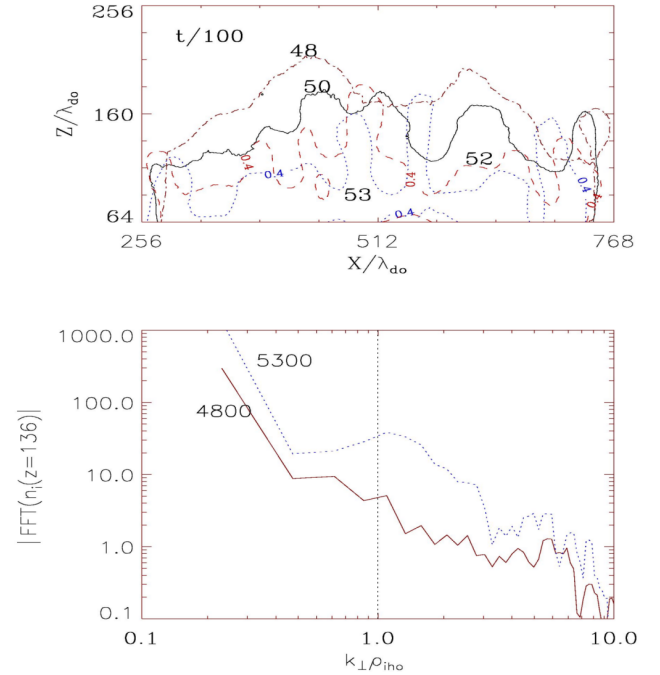


scale compared to  $\tau_{ci}$  giving a clue that OIA waves dominate. We examine next, the nature of the instability highlighting the linear stage of the driven oscillations just before the long and deep filaments form in the density structure.

Figure 6, like Fig. 3, shows the evolution of the potential and density structures but on an expanded horizontal scale and finer time resolution from  $t=5000$  to 5300 revealing that the effect of the growing oscillations spreads to large heights very rapidly. At  $t=5000$ , the oscillations at the bottom of the USBPS are confined in the transition region of the PPD; otherwise, most of the space inside the structure, shown in dark blue, has a potential near  $\sim -30$ . The subsequent plots show that soon there are fingers of higher potentials ( $\sim -20$ , shown in green) penetrating into the dark blue region. At even later times, such fingers attain higher potentials (red and orange) shown in Fig. 6. We try to understand the origin and mechanism of the finger formation. During the short time the oscillations in the potentials have developed into interpenetrating fingers of low and high potentials, the density, shown in the bottom panels of Fig. 6, has developed deeper filaments; the deepest part of the density cavity, appearing in Blue at the bottom of the USBPS, is completely striated by the time  $t=5200$  and the striations extend upward as filamentary density cavities, alternating with filaments of density enhancements. The filamentary cavities (bottom panels) and the fingers of higher potentials (top panels) are nearly co-located.

In order to further characterize the oscillations near  $t \sim 5000$  mentioned above, Fig. 7a shows the evolution of the spatial oscillation in a specific ion density contour, namely,  $n_i=0.4$ , from  $t=4800$  to 5200. Note that the contours shown are in the central region of the PS over  $256 < x < 768$ . With increasing time after  $t \sim 4800$  the contour develops large-amplitude oscillations with fine structures along  $x$ . Figure 7b shows the wave number spectrum of such oscillations in the ion density  $n_i(x, z=136)$  at  $t=4800$  as well as at  $t=5200$ . The horizontal axis in Fig. 7b is  $k_{\perp} \rho_{iho}$ , where  $k_{\perp}$  is the wave number in the  $x$  direction and the normalization factor  $\rho_{iho}$  is the hot ion average Larmor radius. Comparing Figs. 7a and b, we find that as the oscillations grow the power is concentrated in wave number near  $k_{\perp} \rho_{iho} \sim 1.1$ , which yields perpendicular wavelength  $\lambda_{\perp} \cong 2\pi \rho_{iho} \cong 76 \lambda_{do}$ .

In order to determine the wave structure parallel to the magnetic field, we examine the ion density distribution  $n_i(x=512, z)$  as plotted in Fig. 8 for the same time interval as in Fig. 7. The noteworthy feature of the vertical density profile is that, it has a deep density cavity near the bottom of the PS, as noted earlier. The large-amplitude oscillations initiate in the cavity near  $t \sim 5000$ . It is difficult to determine the exact wave mode of the oscillation in such an inhomogeneous plasma; the oscillations develop in the region of density gradients for  $z > 64$ . However, for the oscillations in the density for  $t=5200$ , we estimate that the parallel wavelength  $\lambda_{\parallel} \sim 50$ – $100 \lambda_{do}$  giving  $k_{\parallel} \rho_{iho} \sim 1.6$ – $0.8$ . Since the oscillations develop at a much faster time scale than the ion cyclotron period  $\tau_{ci}=833$ , we believe that they belong to an oblique ion acoustic mode with a frequency  $\omega \sim k_{\parallel} V_{ibu} \sim 0.025$ – $0.05 \omega_{po}$ ,

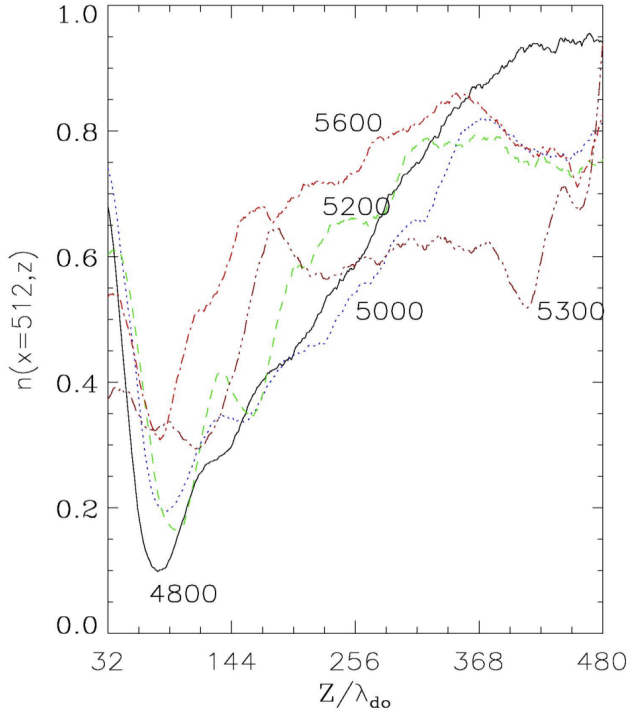


**Fig. 7.** Development of the ion-mode instability: **(a)** Growing spatial oscillations in the density contour for  $n_i=0.4$  over time interval from  $t=4800$  to  $t=5200$ . **(b)** Wave number spectrum of the spatial fluctuations in the ion density  $n_i(x)$  along  $z=136$  and at times  $t=4800$  and  $5200$ . Note the growth of the short-scale perpendicular oscillations with wave number peaking near  $k_{\perp} \rho_{iho} \sim 1.1$  at  $t=5200$ .

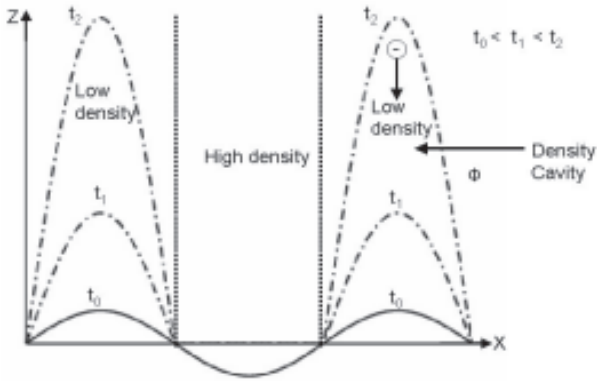
which is in the range of ion oscillations; note that ion plasma frequency with the mass ratio  $M/m=400$  and normalized density of unity is  $\omega_{pio}=0.05 \omega_{po}$  and the minimum density in the cavity is  $n_i \sim 0.1$  and corresponding minimum ion plasma frequency is  $\omega_{pi} \sim 0.015 \omega_{po}$ . Thus the linear wave mode is trapped inside the cavity and grows fast, owing to the resonant instability becoming an absolute instability due to reflections from density gradients and resulting feedback.

### 3.3 Nonlinear evolution

As mentioned above, another important issue is that, the effects of the oscillations locally generated just above the PPD quickly rise to large heights above the cavity; the large amplitude perturbations reach large heights in a short interval of time  $\Delta t \sim 100$ . This can be clearly seen from Fig. 6; the perturbations in the potentials at the bottom of the structure (USBPS) are seen to rise nearly to the top in successive plots, which are only 100 units apart in time. Even though the initial oscillations are ion modes, the fast communication of the larger potentials to higher heights forming intertwined fingers of low and high potentials must involve some electron mode. We explain this behavior physically in terms of collection of electrons by a body biased at a large positive potential in magnetized plasmas; the body collects electrons from a long volume of plasma extending along the magnetic field lines passing through it. The electrons' depletion from

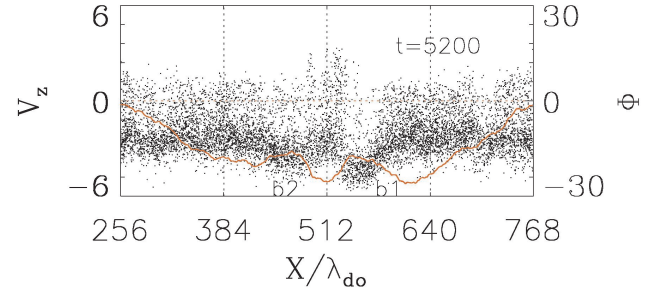


**Fig. 8.** Evolution of the vertical structure in  $n_i(x=512, z)$  during the growth of the ion oscillations shown in Fig. 7.



**Fig. 9.** Schematic diagram showing the growth in the potential fingers arising from positive, potential perturbations in the negative background potential. The electrons accelerated downward by the positive potential perturbations cause (i) filamentary electron beams, (ii) density depletions and, (iii) fast rise of the positive potential perturbations to large heights. In contrast, the regions with the negative-potential perturbations and high densities remain stagnant for a much longer time.

the volume generates an extended positive potential sheath (Laframboise, 1997; Singh et al., 2000a). The oscillations at the bottom of the USBPS create little “stubs” of enhanced potentials near the transition region of the PPD as seen from the top panels of Fig. 6; such stubs collect (attract) electrons from magnetic field-aligned volumes more efficiently than the neighboring regions with lower potentials. The col-

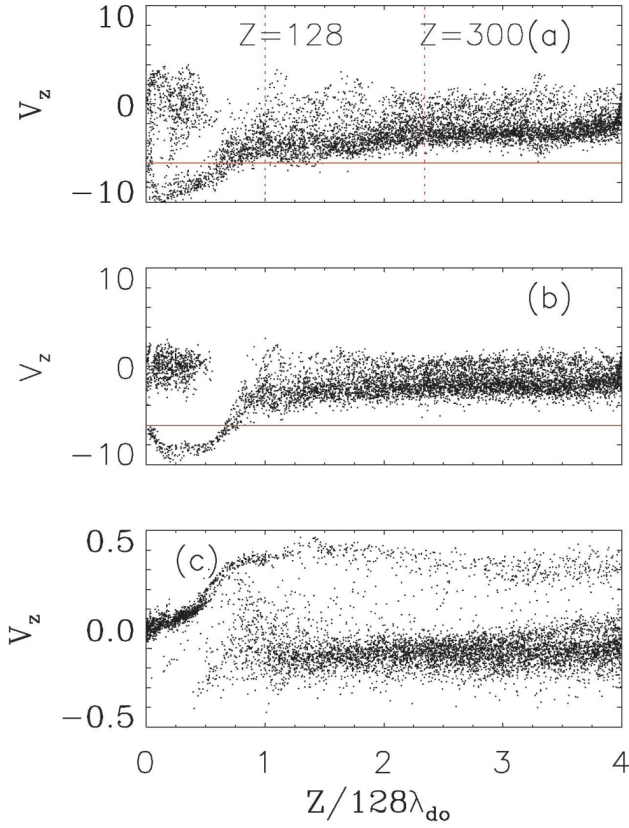


**Fig. 10.**  $x-V_z$  phase space of electrons over the height range  $192 < z < 246$  at  $t=5200$ . The red trace in the top panel shows the perturbations in the potential  $\phi(x, z=224)$  showing the correlation in the positive perturbations in the negative background potential with the parallel acceleration of electrons forming filamentary electron beams. Bunches of electrons labeled “b<sub>1</sub>” and “b<sub>2</sub>” refer to the potential fingers “b<sub>1</sub>” and “b<sub>2</sub>” in Fig. 6.

lection (attraction) of electrons from the field-aligned volumes generates density depletions as well as “fingers” of enhanced potentials extending along the field lines. The enhanced potential perturbations rapidly propagate upward like a fast electron shock (Singh and Schunk, 1983). This process is illustrated schematically in Fig. 9; the influence of the positive portions of the oscillation at  $t=t_0$  is shown to advance to higher heights at later times  $t_1$  and  $t_2$ , while the negative portion remains stagnant. The transverse size of the fingers shown in this figure is typically half of the perpendicular wavelengths of the ion oscillations.

The rising potentials in the fingers accelerates electrons downward; Fig. 10 shows the phase space of electrons in the  $x-V_z$  plane in the height range  $192 < z < 256$  at  $t=5200$ ; we have also overlaid in this figure  $\phi(x)$  at  $z=224$ . Figure 10 shows the preferential parallel acceleration of electrons in  $z-V_z$  over the range of  $x$  with positive potential perturbations superimposed on the background negative potentials, creating filamentary electron beams in narrow channels. Two filamentary beams are labeled as b<sub>1</sub> and b<sub>2</sub>, and their locations in the USBPS at  $t=5200$  are shown in Fig. 6.

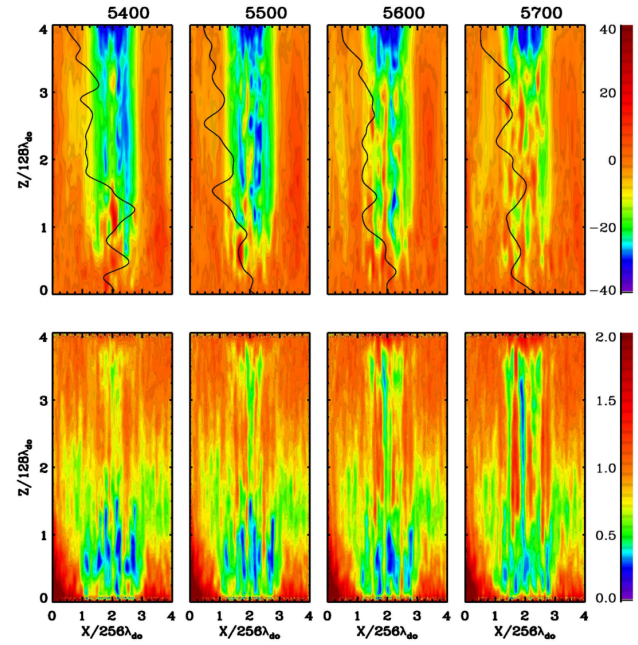
The potential structure for  $t=5200$  in Fig. 6 shows a narrow filament of positive- potential perturbation ( $\phi \sim -10$  to  $-20$ ) penetrating in to the region of higher (negative) background potential of  $\phi \sim -30$ ; the filament occupies the region  $525 < x < 580$  and extends to  $z \sim 300$  labeled as b<sub>1</sub> in Fig. 6. In Fig. 11a we have plotted the  $z-V_z$  phase space of the electrons in this filament showing that they are accelerated reaching an average velocity of  $-6 a_{eh0}$  before being further accelerated by the remaining parallel potential drop near the lower height  $z < 128$ . For the purpose of comparison Fig. 11b shows the same phase space in the same spatial region at  $t=5000$  when the positive potential perturbation did not exist, showing that the electron acceleration occurs mostly at the lower height. Figure 11c shows the  $z-V_z$  plot of the ions at  $t=5200$  in the same spatial region as for the electrons in Fig. 11a. Note the presence of an up-going ion beam counter-streaming against the electrons accelerated in



**Fig. 11.** (a)  $z-V_z$  plot of a filamentary electron beam; the electrons undergoing acceleration between  $128 < z < 300$  are in the potential finger “b<sub>1</sub>” over the transverse width  $525 < x < 580$  at  $t=5200$  in Fig. 6. Such electrons are further accelerated at a lower height,  $z < 128$ . (b) When there are no fingers in the USBPS at  $t=5000$  (Fig. 6), electrons are accelerated only at the lower heights. (c) Ions associated with the filamentary electron beam in (a).

the narrow potential filament. The ions are seen accelerated in the parallel potential drop at the lower height where the electrons are accelerated at  $t=5000$  (Fig. 11b). Since the accelerated ions move slowly, they interact with the rising potential and slow down at higher heights but the ion beam persists in the potential finger. We point out that such counter-streaming of electron and ion beams are not uncommon in the auroral plasma (McFadden et al., 1999; Pottelette et al., 2004).

Figure 12 is an extension of Fig. 6 showing evolution of the potential and density filaments over  $5400 \leq t \leq 5700$ . We find that by the time  $t \sim 5700$ , the entire length of the USBPS is deeply striated both in density and potential. Some of the large-amplitude positive potential pulses appearing in the filamentary structures are electron holes. We next discuss such nonlinear structures.

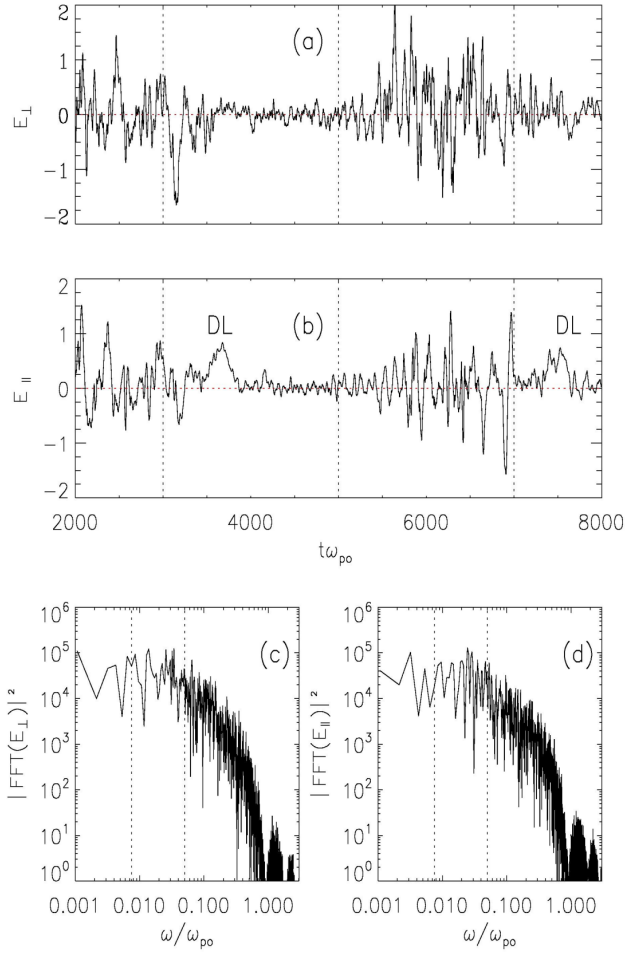


**Fig. 12.** Same as Fig. 6 but at later times as labeled on the top of the panels: note the formation of long density striations by the time  $t \sim 5700$ ; density depletions have higher potential than the density enhancements. The depletions have filamentary electron beams, which drive LH waves, which evolve into electron holes (Figs. 13–16).

### 3.4 Lower Hybrid and Oblique-resonance-cone (ORC) waves, and electron holes

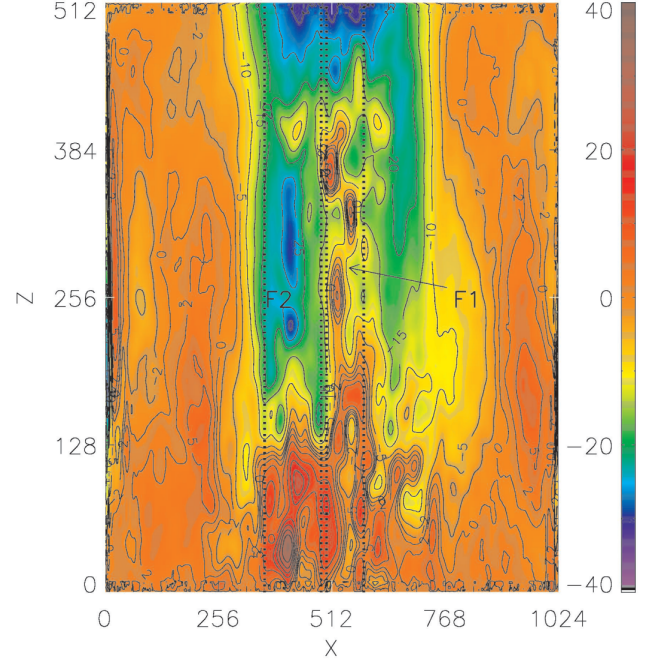
We find that the accelerated filamentary electron beams, like that shown in Figs. 10 and 11a generate waves above the lower hybrid (LH) frequency. The large-amplitude oscillations shown in the temporal variation of the potential in the top panels of Fig. 4 are primarily LH waves and their nonlinearly evolved waveforms. Figure 13a and b show the time history of  $E_\perp$  and  $E_\parallel$  at the point (512, 256) from R-2, respectively. The frequency spectra of the data on  $E_\perp$  and  $E_\parallel$  are shown in Figs. 13c and d. Examining the plot for  $E_\parallel(t)$ , we note that parallel electric field in the PPD as a DL at the bottom of the USBPS passes this point at two occasions, first at  $t \sim 3800$  during the first descent and then at  $t=7500$  during the second descent. The passage of the DL as a large signature in  $E_\parallel$  accompanies a weak signature in  $E_\perp$ ; the interrelation between  $E_\parallel$  and  $E_\perp$  in a DL as a part of a USBDL is discussed later. Before the passage near  $t \sim 3800$ , both  $E_\perp$  and  $E_\parallel$  show large-amplitude fluctuations, a typical behavior of the high-potential plasma directly below the PPD. Soon after the passage, the point (512, 256) lies in the low-potential region above the PPD and the fluctuations become relatively much weaker. However, when the filamentation process begins developing fingers in the USBPS, the amplitude of the fluctuations first grows over  $5000 < t < 6000$  and then saturates as seen over the time interval 6000–7000. During the growth phase the dominant





**Fig. 13.** Temporal evolution : (a)  $E_{\parallel}(t)$ , (b)  $E_{\perp}(t)$ . Fourier spectra: (c)  $E_{\parallel}(\omega)$  and (d)  $E_{\perp}(\omega)$ .

oscillations are the lower hybrid (LH) waves; the frequency of the initially growing oscillations is  $\omega = 0.055\omega_{po}^{-1}$ . Figure 8 shows that during the time span from  $t = 5000$  to  $5600$ , the local normalized plasma density at  $z \sim 256$  is in the range  $0.6$ – $0.8$  giving a lower hybrid frequency  $\omega_{lh} = \omega_{pi} / (1 + (\omega_{pe}/\Omega_e)^2)^{1/2} = 0.042\omega_{po}^{-1}$ , where  $\omega_{pi}$  and  $\omega_{pe}$  are the local ion and electron plasma frequencies, respectively. Thus the growing oscillation has a frequency just above the local lower hybrid frequency, specifically,  $\omega = 1.3\omega_{lh}$ . Furthermore, we note that  $E_{\parallel} \ll E_{\perp}$  during the growth phase. Such oscillations belong to the oblique-resonance-cone (ORC) in the whistler frequency band. Figure 13b further shows that the period of the oscillations progressively increases; this is due to the depletion of the plasma density, forming a cavity (see Fig. 3). Furthermore, the oscillations seem to evolve into spiky bipolar pulses in  $E_{\parallel}(t)$  over the time span  $\sim 6500$ – $7000$ . The bipolar pulses are electron holes (see also Figs. 15 and 16). It is worth mentioning that it has been previously demonstrated that electron-beam-driven ORC waves do indeed evolve in electron holes (Singh et al., 2000b, 2001a,b; Singh, 2003). The formation of filamentary electron beams (Figs. 10 and 11) is conducive to the prefer-



**Fig. 14.** (a) Potential structure at  $t = 9400$  showing a finger with positive-potential perturbations and labeled as “F<sub>1</sub>”. The finger develops in the central region sandwiched between two negative-potential fingers (NPF) on the sides. The NPF on the left is labeled as “F<sub>2</sub>”. Note the three large-amplitude positive potential pulses in “F<sub>1</sub>”; they are electron holes.

ential generation of ORC waves at relatively low frequencies above  $\omega_{lh}$  in the whistler branch (Singh et al., 1985). McFadden et al. (1999) have reported that electron holes are common in the UCR and they occur in association with narrow field-aligned electron beams. One-dimensional (1-D) simulations driven by voltage drops and currents have also revealed formation of electron holes via electron-beam-driven beam-plasma modes (Omura et al., 1996; Singh, 2000, 2002; Newman et al., 2001; Goldman et al., 2003). Recent 1-D PIC simulations by Matsukiyo et al. (2004) revealed the generation of coherent waveforms consisting of Langmuir, electron-acoustic and ion-acoustic waves.

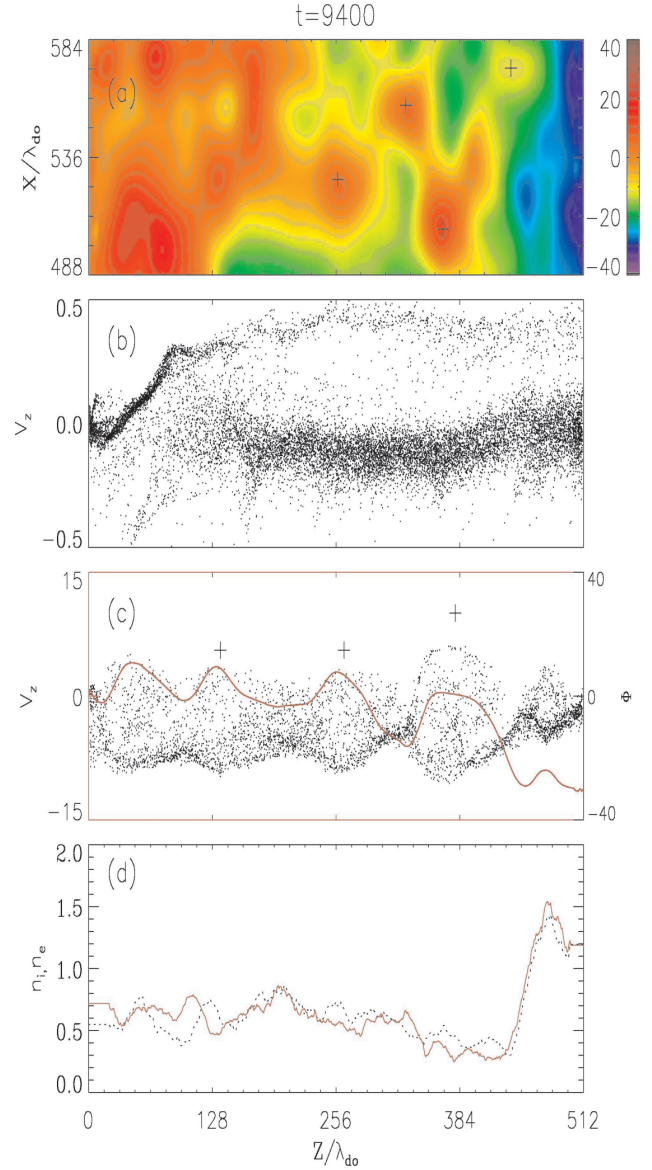
The LH waves and electron hole structures contribute to mask the filamentary behavior of the PS substructures in  $\varphi(x, z)$ . The LH turbulence heats the background electrons, which tend to leave the system contributing to the depletion of plasma in narrow channels. Since the ions lag in the depletion process owing to their heavier mass, the potentials in the lower portion of the filamentary DLs, where the electron heating occurs, rise rather rapidly. This is one of the reasons why the negative potential regions quickly recede to higher heights as seen from the plots for  $t = 5000$ – $6000$  in Figs. 3, 6 and 12. The electron heating in the region of the raised potentials below the low potential region, aid in the depletion of ions causing the extended cavity formation at  $t \sim 7000$  (Fig. 3). This facilitates precipitation of hot ions with the accelerated electrons.

### 3.5 Frequency spectrum of the plasma turbulence

We have already discussed quite a bit about the temporal and spatial evolution of the fields and plasma in the simulations. We found that ion-beam driven ion modes play a crucial role in triggering the filamentation process as well as in the redistribution of the PPD from low to high heights. When the filamentary structures form, the lower hybrid waves and electron holes become the important features of the electro-dynamics below the PPD. We highlight here the frequency spectrum of the plasma turbulence seen in the simulations. Figures 13c and d show the spectra of  $E_{\perp}$  and  $E_{\parallel}$ , respectively. The two broken vertical lines indicate the ion cyclotron ( $\Omega_i$ ) and the nominal lower hybrid ( $\omega_{lh}$ ) frequencies; the latter changes with the plasma density when the plasma depletion creates the density cavity. Note the broadband nature of the turbulence both in  $E_{\perp}$  and  $E_{\parallel}$ . The power at frequencies below  $\Omega_i$  is caused by the recurring redistribution of the PPD from low to high heights. The spectrum of  $E_{\perp}$  has equally strong distinct peaks at  $\omega \cong \Omega_i = 0.0075\omega_{po}$  and its second harmonic  $\omega \cong 2\Omega_i = 0.015\omega_{po}$ . Both  $E_{\perp}$  and  $E_{\parallel}$  have peaks at  $\omega = 0.032\omega_{po}$ ; we interpret this frequency as the lower hybrid oscillations in deep density cavity over  $600 < t < 7000$  and therefore, it is lower than the nominal value of  $\omega_{lh} = \omega_{lho} = 0.048\omega_{po}$ . We have already discussed that the LH oscillations evolve into electron holes having bipolar structures in  $E_{\parallel}$  as shown in Fig. 13b (also see Figs. 14–16).

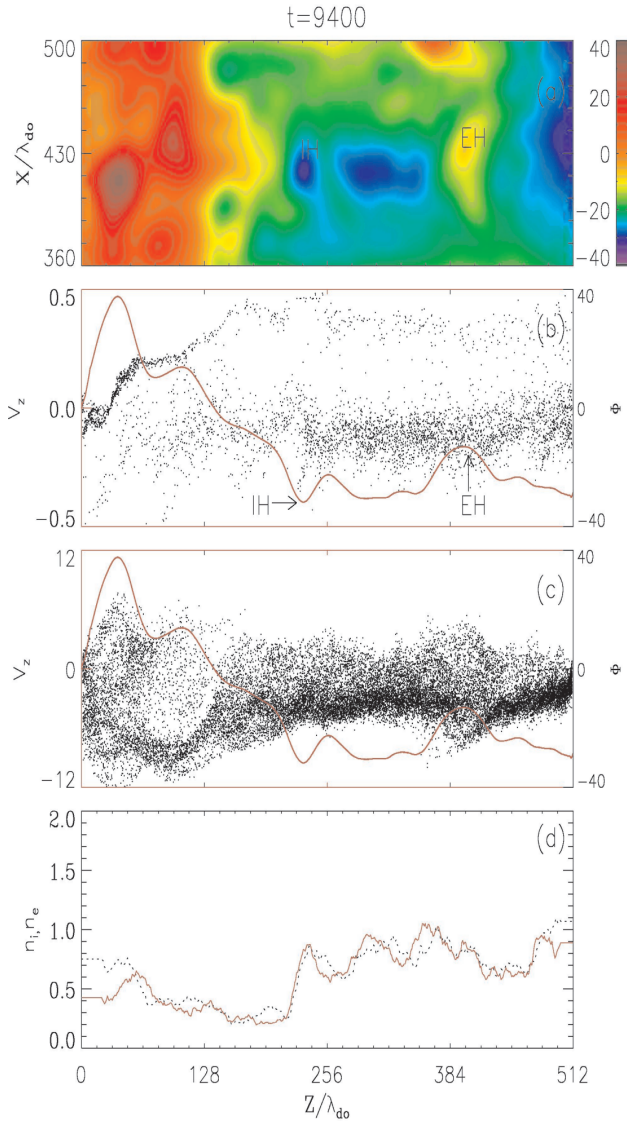
### 3.6 Plasma and fields in the potential fingers

We have already discussed the plasma processes, which lead to the formation of density filaments and associated finger-like structures in  $\varphi(x, z)$  in a USBPS. The beginning of the formation of the filamentary density and potential structures and their further evolution were discussed earlier in connection with Figs. 6 and 12. We examine here the plasma and fields in fingers of both positive and negative potentials as substructures in the USBPS. Earlier in Figs. 2 and 3 we showed the evolution over the entire run time from this run. We also noted that a new cycle begins at about  $t=9000$ . Fig. 14 shows an example of the USBPS from the early part of this new cycle ( $t=9400$ ) on an expanded horizontal scale, clearly revealing the details. The large negative potentials ( $\sim -30$ ) in the USBPS have receded to the top ( $z > 450$ ) in its central region ( $380 < x < 640$ ) and smaller negative potentials,  $\sim -20$  (green), descend down to low heights up to  $z \sim 128$  on the sides, leaving in the middle a narrow positive-potential finger extending to heights above  $z \sim 450$ . The density structure at  $t=9400$  is not shown here, but it could be inferred from Fig. 3. There is a broad density cavity associated with the USBPS primarily confined to heights  $z < 256$ , like that seen from the density plot for  $t=9000$  in Fig. 3. The central positive potential finger has its own small-scale cavity, which extends to the top and joins a newly formed cavity in which the parallel potential drop occurs near the top ( $z \sim 450$ ) in the central region of the USBPS. The persistence of this narrow



**Fig. 15.** Detailed features of  $F_1$  shown in Fig. 14: **(a)** Potential structure with positive potential perturbations rising to large heights over the horizontal distance  $488 < x < 536$ . Note the localized large-amplitude perturbations, which are electron holes as marked with + signs. The  $z-V_z$  phase space for **(b)** ions and **(c)** electrons lying in  $F_1$ ; the red line curves show  $\phi(x=512, z)$ . **(d)**  $n_i(x=512, z)$ , in dotted line and  $n_e(x=512, z)$ , in solid line. Note the presence of the ion beam for  $z > 128$  even though the large negative potentials in the USBPS have receded to large heights. On the other hand, electrons are accelerated now near the top. Electron phase space shows vortex associated with the electron holes. Note the extended density cavity for  $z < 470$ .

cavity can be seen from the density plot at  $t=10\,000$  in Fig. 3 (bottom panels). Thus at  $t=9400$ , there are three narrow U-shaped potential structures, the two on the sides extending down to low heights ( $z \sim 128$ ) while the one in the central part of the USBPS is confined near the top ( $z > 450$ ) with a positive-potential finger (PPF) underneath.



**Fig. 16. (a)–(d)** Same as Figs. 15a–d but for the NPF (F<sub>2</sub>) appearing over  $360 < x < 599 < x < 749$  in Fig. 14. Note that in this case the major acceleration of both electrons and ions occur at a lower height. ( $z \sim 128$ ) A relatively weaker acceleration of electrons occurs at higher heights ( $z \sim 450$ ) for  $x > 388$ ; the accelerated electrons generate electron holes as shown by the yellow patch near  $z \sim 384$ . The small blue patch in the height range  $128 < z < 384$  is an ion hole. In contrast to the finger F1, the cavity in finger F2 is limited to  $z < 256$ .

Figures 15a–d show the details in the structure in a narrow part ( $488 < x < 584$ ) of the central finger with positive-potential perturbations, labeled as F1 in Fig. 14: in panels from top to bottom we show (a) equi-potential structure, (b) ions  $z-V_z$  phase space, (c) electrons  $z-V_z$  space, (d) electron ( $n_e(z)$ , red line) and ion ( $n_i(z)$ , dotted line) densities along  $x=524$ . The red-line curve in (c) shows  $\phi(z)$  along  $x=524$ . The equi-potentials showing large-amplitude positive-potential pulses in (a), the vortexes in the electron phase space in (c) and the potential plot  $\phi(x=524, z)$ , all

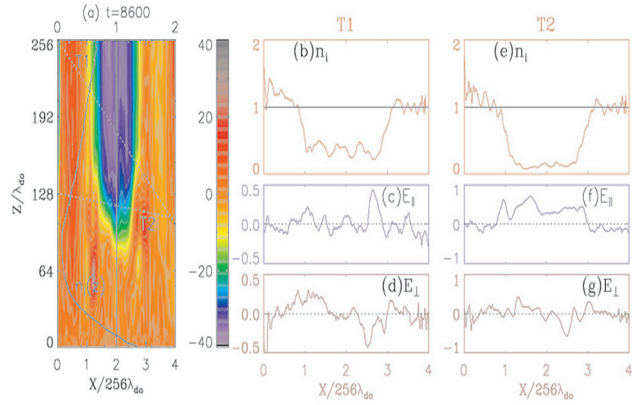
show that the F1 is dominated by electron-hole turbulence. This is what we also noted in Fig. 13 over the time span 6000–7000 when the USBPS underwent filamentation in an earlier cycle. Note that as soon as electrons are accelerated downward near  $z \sim 448$  (near the top), electron holes begin to emerge. Figure 15b shows that ion beam is still persisting despite the raised potential in the finger; this is simply due to the slow response of ions to the electric fields which evolve much faster at the electron time scale. Thus the ions accelerated at the earlier times in the USBPS persist despite the fast progression of the positive potentials to large heights. This produces counter-streaming of electron and ion beams as noted earlier in Fig. 11.

Figures 16a–d are like Figs. 15a–d, but for a finger with persisting negative-potentials occupying the horizontal distance  $360 < x < 500$  and labeled as F2 in Fig. 14. The  $\phi(z)$  plots in Figs. 16b and c as well as the density plots in Fig. 16d are along  $x=415$ . In this finger, electrons undergo a major downward acceleration near  $z \sim 128$  (near the bottom), and a weak acceleration near  $z \sim 416$  in the upper part of the finger ( $x > 390$ ). Even below the region of the weak electron acceleration, electron holes are clearly seen as large-positive potential pulses; there is an electron hole as seen from the isolated vortex in the electron phase space (Fig. 16c) and a localized positive potential pulse at the same  $z$  in Fig. 16a as well as in  $\phi(z)$  plotted in Figs. 16b and c: this electron hole is labeled as “EH”. We also highlight the ion hole appearing as a small blue patch in Fig. 16a; and labeled as “IH” it appears as a negative potential perturbation in  $\phi(z)$  plot in Fig. 16b along with a vortex in the ion phase space. The upward ion acceleration near  $z \sim 128$  is clearly seen in Fig. 16b. A ramp-like acceleration below  $z \sim 128$  apparent in this figure is due to the earlier ion acceleration in the evolving USBPS. The large positive potentials below  $z \sim 128$  seen in Figs. 15a and 16a are a consequence of plasma turbulence; electrons in this region are heated by the electron beam turbulence and they tend to exit from the bottom boundary. This creates a positive space charge raising the potential, which retards out-flux of electrons and enhances the downward out-flux of ions. Figure 16b shows that even the hot ions, which were previously trapped by the parallel potential drop in the USBPS, are precipitating with the accelerated electrons. This downward precipitation of hot ions is the primary mechanism for the extension of the cavity to large heights during the process of the filamentation of the USBPS as shown in Fig. 3.

### 3.7 Parallel and perpendicular electric fields and density cavities

In view of the recent reports of turbulent as well as quasi-static electric fields in density cavities measured from Polar (Hull et al., 2003; Mozer and Hull, 2001), we examine here the features of electric fields seen by a probe aboard a satellite if it were to traverse the potential structures shown in Fig. 3. The temporal evolution of the potential and density structures including the filamentation suggests a variety of possibilities of such features, depending upon the height



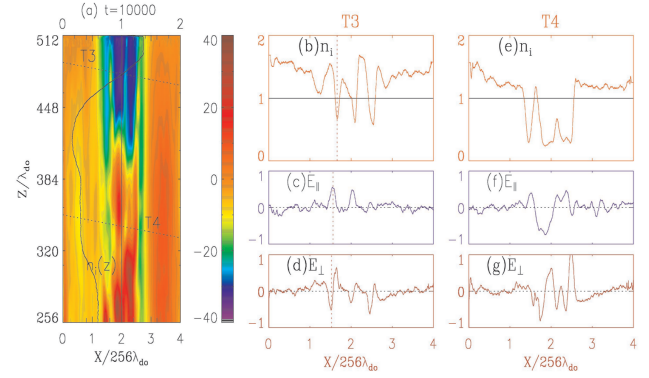


**Fig. 17.** (a) The lower part of the potential structure at  $t=8600$  (b)  $n_i(x)$ , (c)  $E_{||}(x)$  and (d)  $E_{\perp}(x)$  along trajectory T1 shown in (a). (e)–(g) same as (b)–(d) but along trajectory T2. Note that the density cavities with converging perpendicular fields point into the cavities and maximize near the edges.

and time of observation. We present two sets of examples, one dealing with a long USBPS stretching to low heights like that at  $t=5000$  and the other when it receded to higher heights leaving a turbulent plasma underneath like that at  $t=10000$ . The situation at  $t=5000$  is also repeated at  $t=8600$ ; The Fig. 17a shows the bottom half of the USBPS at this time with two trajectories, T1 and T2, drawn in slant broken lines. Also plotted in this column is the shape of the plasma density profile  $n_i(z)$  along  $x=512$ , showing an extended density cavity. T1 is a steep trajectory and it enters and leaves the USBPS at quite different heights. Figs. 18b–d show the spatial structures in  $n_i(x)$ ,  $E_{||}(x)$ , and  $E_{\perp}(x)$  along T1, respectively,  $x$  being the projection of T1 on the horizontal axis. Note that; (i) T1 passes through a deep density cavity, (ii) in the cavity a strong unipolar  $E_{||}(x)$  is seen while exiting the cavity at the lower height, and (iii) strong converging  $E_{\perp}(x)$  exists with positive and negative polarities at left and right edges of the cavity. Such features of the potential structures in the upward current plasma are reported from Polar (Hull et al., 2003); Ergun et al. (2004) describe such structures as textbook examples. As a matter of fact such structures were reported from early 2-D simulations of Singh et al. (1987) and Winglee et al. (1988) in plasmas driven by current sheets.

The trajectory T2 in Fig. 17a covers the bottom part of the USBPS and Figs. 17e–g show  $n_i(x)$ ,  $E_{||}(x)$ , and  $E_{\perp}(x)$ , like for T1. Near the bottom the cavity is even deeper,  $E_{||}(x)$  shows that the PPD is horizontally broad creating a strong unipolar parallel electric field in a strong DL. The  $E_{\perp}(x)$  in Fig. 17g shows the converging fields concentrating near the left and right boundaries of the cavity.

Like Figs. 17 and 18 shows the features of  $n_i(x)$ ,  $E_{||}(x)$ , and  $E_{\perp}(x)$  along trajectories T3 and T4 in the upper half of the USBPS at  $t=10000$  as drawn in panel (a). The density  $n_i(z)$  along  $x=512$ , shown in panel (a), reveals an extended cavity. Figure 18a shows filamentary structures in the USBPS, and they are reflected in the features of  $n_i(x)$ ,



**Fig. 18.** (a) The upper part of the potential structure at (a)  $t=10000$ , (b)  $n_i(x)$ , (c)  $E_{||}(x)$  and (d)  $E_{\perp}(x)$  along trajectory T3 shown in (a). (e)–(g) same as (b)–(d) but along trajectory T4. Although relatively weak, perpendicular electric fields pointing into the cavity occur near its edges while much stronger turbulent fields occur inside.

$E_{||}(x)$ , and  $E_{\perp}(x)$  seen in Figs. 18b–d. Along T3 we find three narrow cavities (panel (b)), in which there are strong uni-polar  $E_{||}(x)$  (panel (c)) and bipolar converging  $E_{\perp}(x)$  (panel (d)). Thus the narrow structures are filamentary U-shaped DLs imbedded in a USBPS. In contrast to T3, the features of  $E_{||}(x)$  and  $E_{\perp}(x)$  along T4 are strongly affected by the plasma turbulence generated by the electron beams accelerated in the filamentary DLs at higher heights. A close scrutiny shows that large negative  $E_{||}(x)$  in panel (f) belongs to an electron hole intersected by T4; the bipolar nature of the perpendicular electric field associated with the electron hole is clearly evident in panel (g). Note that turbulence occurs in a deep density cavity (panel (e)) and the converging electric fields, positive at the left and negative at the right boundaries of the cavity, are much weaker than the strong fields associated with the turbulence. The nature of the turbulence is discussed in detail in connection with Fig. 13.

#### 4 Discussion and comparison with observations

We found that a USBPS undergoes a transverse filamentation process when its parallel potential drop (PPD) in the form of a DL descends down to the heights where cold plasma dominates. The cold ion beam drives OIA waves trapped in a density cavity at the bottom of the USBPS. The potential perturbations associated with this instability trigger the filamentation. The relevance of the dynamical processes and their effects as seen in the simulations to auroral acceleration is discussed below.

**Density cavities:** Simulations show that density cavities are an integral part of the electrodynamics driven by a USBPS. The cavities could be either broad or filamentary in their horizontal (latitudinal) structure depending on the phase of the dynamical evolution. Likewise, the cavity could be vertically either extended or confined to the region of the PPD. When the PPD is at large heights, underneath a deep

and extended cavity occurs. In the early stage of the extended cavity formation, the hot plasma above the PPD, while at lower heights, is filamented resulting first into filamentary cavities and electron beams. The broad cavity is a direct consequence of plasma heating and acceleration by the plasma turbulence driven by such filamentary electron beams. The hot ions precipitate with the accelerated electrons as the plasma evacuation proceeds. In the past, such simultaneous precipitations of energetic ions and electrons have stirred controversy on the role of static parallel fields in auroral accelerations (Bryant et al., 1977). Our simulations show that the acceleration by static fields and simultaneous electron and ion precipitations are an integral part of the electrodynamics driven by a USBPS. A similar conclusion was drawn earlier from one-dimensional Vlasov simulations of DLs (Singh and Khazanov, 2003b). The broad cavity refills primarily from the top as the hot plasma expands into the cavity; the PPD in the form of a corrugated DL occurs in the density transition at the top of the cavity. When the broad cavity shrinks sufficiently in height by the expanding hot plasma from the top, it acts like a cavity resonator for the ion-beam driven OIA waves, which initiate the cyclic process of filamentation and redistribution of fields and density in the PS. The broad density cavities are bounded by inward pointing perpendicular electric fields.

**Perpendicular scale lengths:** The transverse size of the filamented density and the associated potential structures scales with the perpendicular wavelength,  $\lambda_{\perp}$ , of the OIA mode and it turns out that  $\lambda_{\perp} \cong 2\pi\rho_{ih}$ , where  $\rho_{ih}$  is the average Larmor radius of the hot ions. Observations from FAST and Polar cover the altitude range 3000–6000 km, over which  $\rho_{ih}$  could range over 0.2–2 km and  $\lambda_{\perp} \sim 1$ –12 km for hot ion temperature  $T_{ih} \sim 1$ –10 keV. Thus, we suggest that the filamentation process found in the simulations might be the mechanism for generating 1–20 km substructures reported from Polar observations (Mozer and Hull, 2001; Hull et al., 2003).

**Time scale of the cyclic behavior:** The cyclic behavior of the USBPS seen in the simulation involving the filamentation and upward and downward motion suggest considerable fluctuations not only in the electron and ion accelerations, but also in the shape, size and lifetime of the auroral density cavities and electric fields. Since the vertical extent of the acceleration region is not precisely known, it is difficult to conjecture the time scales of such fluctuations. However, if we assume that the acceleration region extends from 3000 km to 8000 km, the dominant period is estimated to be the time taken for the PPD to descend down from top to bottom over this altitude range. Taking the velocity of downward motion to be the ion-acoustic speed,  $C_s$ , and the length of the acceleration region  $L_{\parallel} = 1 R_E$ , the time scale for the fluctuations is  $\tau \sim L_{\parallel}/C_s$ . If we use  $T_{eh} = 1$  keV and  $T_{ih} = 1$ –10 keV in Eq. (2),  $C_s = 630$ –1760 km/s giving  $\tau = 3$ –10 s. It is interesting to note that Trondsen and Cogger (1997, 1998) have reported that the lifetime of forms in the auroral arcs are in the range of 1–10 s.

**Scaling relations for double layers:** Since the PPD occurs in a double layer, simulations have shown that the parallel width should scale as (Hubbard and Joyce, 1979; Singh, 1980).

$$\begin{aligned} \ell_{par} &\sim 6 \times (e|\varphi_o|/k_B T_e)^{1/2} \lambda_{do} = 4 \times (2e|\varphi_o|/m_e)/\omega_{pe} \propto n_e^{-1/2} \\ &= 1.5(\varphi_{okv}/n_{cm3})^{1/2} \text{ km} \end{aligned} \quad (3)$$

where  $n_e$  is the local electron density and  $\varphi_o$  is the potential drop across the layer (Singh, Khazanov 2005);  $\varphi_{okv}$  and  $n_{cm3}$  are  $|\varphi_o|$  and  $n_e$  expressed in kV and in  $\text{cm}^{-3}$ , respectively. The foregoing scaling simply suggests that the parallel width should scale with an effective Debye length determined by the local plasma density and energy of electrons accelerated by the potential drop supported by the parallel fields. The scaling in Eq. (3) can be used to derive an estimate for the average parallel electric field in a double layer, namely,

$$E_{\parallel} \sim \varphi_o/\ell_{par} = 670 \times (\varphi_{okv})^{1/2} \times (n_{cm3})^{1/2} \text{ mV/m} \quad (4)$$

The double layers formed at the bottom of the USBPS in our simulations do obey the scaling shown in Eqs. (3) and (4). For example, Fig. 13 shows that a pulse of positive  $E_{\parallel}$  passes through the point (512, 256) at  $t=3800$  and again at  $t=7500$ . The width of the pulses estimated from Eq. (1) is  $\ell_{par} \sim 60\lambda_{do}$  including the effect of the local density; this gives an average  $E_{\parallel} \sim 30/60 = 0.5$ , which compares well with the amplitudes of the DL seen in Fig. 13. We point out that the above estimated width also compares well with the width estimated from the passage time multiplied by the downward velocity of the DL given in Eq. (2).

Pottelette et al. (2004) have reported identification of a narrow- in-altitude turbulent acceleration region with thickness of  $\sim 10$  km from FAST data. Hull et al. (2003) have estimated a similar thickness from Polar. In this narrow layer strong parallel electric fields occur, responsible for the auroral electron and ion accelerations. Let us see how such observations on the vertical width of  $E_{\parallel}$  compares with the double layer scalings in Eqs. (3) and (4). Pottelette et al. (2004) report that for the narrow acceleration layer,  $\varphi_o \sim 4$  keV and  $n_e = 0.8 \text{ cm}^{-3}$ , for which Eq. (3) gives  $\ell_{par} = 3.5$  km against their estimate of  $\sim 10$  km for the vertical thickness of the layer. In view of the uncertainties in determining the plasma density  $n_e$ , the above comparison between the scaling derived from simulations and observations is fair. The scaling of  $E_{\parallel}$  in Eq. (4) suggests that maximum value of parallel electric fields in the auroral plasma with densities  $\sim 1 \text{ cm}^{-3}$  should be  $\sim 700$  mV/m for 1 keV parallel potential drop. Mozer and Hull (2001) reported a maximum field of 750 mV/m. Verification of Eq. (4), namely,  $E_{\parallel} \propto (\varphi_{okv})^{1/2} \times (n_{cm3})^{1/2}$ , from satellite observations may prove invaluable in establishing the role of double layers in auroral acceleration.

**Plasma turbulence:** The frequency spectrum of the wave turbulence in the cavities extends from below the ion-cyclotron frequency to LH and higher VLF frequency band. However, there are distinct peaks in the ion cyclotron, ion-acoustic and LH frequency ranges (Figs. 13c and d). These

features of the turbulence are seen in the data reported by Hull et al. (2003) and Pottelette et al. (2004). Both parallel and perpendicular fields at times show spiky features, but not in the region of the PPD, in which they appear as a broad or narrow quasi-steady structures depending on the trajectory of the traversal through the USBPS and the stage of its dynamic evolution.

**Corrugated bottom of auroral potential structures:** The bottom portion of the U-shaped potential structure is invariably found corrugated (Figs. 2–4, 7, 13), like that observed from Polar (Mozer and Hull, 2001) and FAST (McFadden et al., 1999). At times the corrugated structures are long forming thin fingers (Figs. 15 and 16); Figs. 3, 6 and 12 show good examples of such fingers over the time span from 5000–6000 and 9000–10 000. We have shown here that corrugation (filamentation) are the results of ion-ion instabilities generating OIA waves trapped in a density cavity in the region of the PPD.

**Motion of PPD:** The upward and downward motions of the U-shaped double layers are relevant to the theory of generation of auroral kilometric radiation. Such radiations have discrete frequencies, which often either increase or decrease systematically over extended periods of time (Pottelette et al., 2003). This behavior in the frequency drift is normally explained in terms of downward or upward motion of narrow potential structures with localized parallel potential drops. The electrons accelerated in such potential structures are the source for the generation of the radiations, which occur near the electron cyclotron frequency,  $\Omega_e$ . The upward or downward motion of the potential structure cause the change in the magnetic field and hence in  $\Omega_e$ . Simulations show that the upward and downward motions of potential structures with parallel fields are integral parts of the plasma electrodynamics in the upward current region of the auroral plasma.

## 5 Conclusions

By means of 2.5-D PIC simulations, we studied the dynamical behavior of the U-shaped broad DL (USBPS) with polarities and plasma populations relevant to the upward current region of the auroral plasma. Relevance of the dynamical behavior to auroral acceleration in the upward current region is pointed out. However, we wish to point out that the study of the USBPS reported here has some limitations while being applied to the auroral plasma. The major limitation is the size of the simulated plasma and also the properties of the hot and cold plasmas. The limited size ignores the divergence of the magnetic field and the associated mirror force. The assumption of equal plasma densities in the top and bottom reservoirs of the simulation limits the applicability to the potential structures at high altitudes in the upper part of the auroral density cavity where the ionospheric plasma density is already severely eroded. Despite such limitations, the simulations do reveal the processes responsible for the (i) filamentation of the USBPS, (ii) cavity formation, (iii) transverse and parallel scale lengths of the auroral electric fields

and their strengths, (iv) formation of thin fingers in the PS and counter-streaming of electron and ion beams in them (v) corrugated bottoms of the USBPS and (vi) DL motion and cavity refilling. These dynamical features of USBPS are consequences of microphysical processes and interaction between them; the sequence of processes seen are the formation of DL supporting PPD at low heights, acceleration of cold ions into a beam, generation of cavity ion modes by the beam when the cavity is sufficiently thin in height, filamentation of the USBPS, formation of filamentary electron beams generating electrostatic whistler waves above the LH frequencies, transportation of the PPD to large heights, plasma heating and depletion below the PPD, cavity formation, downward motion of the PPD reaching heights where cold ions dominate. The acceleration of the cold ions forming a strong beam re-triggers the sequence of processes repeatedly.

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